

**Notre Dame of Maryland University
Report Form for Financial Disclosure**

DRAFT

Personnel associated with externally-funded projects are referred to Notre Dame of Maryland University's *Financial Disclosure and Conflict of Interest Policy for Grant-Funded Programs*. Those who are defined therein as Investigators (including Investigator's spouse and dependent children) must provide the information requested below. Please complete, sign second page, and return this form to the Vice President for Academic Affairs and your Academic Dean

Name	
Title	
Department	
Project Title	
Funding Agency	
Role in the Project	Principal Investigator
Project Dates (start-end)	(Estimated)

I have read the Financial Disclosure and Conflict of Interest Policy for Grant-Funded Programs and (check one):

_____ **A. Do not have any Significant Financial Interests** to report for myself, my spouse, or my dependent children which would reasonably appear to be affected by the project.

_____ **B. Do have Significant Financial Interest** to report for myself, my spouse, or my dependent children which would reasonably appear to be affected by the project.

If you checked A, simply sign the form and return.

If you checked B, check all that apply, attach requested documentation, sign the form, and return.

_____ 1) Salary or other payments for services (e.g., consulting fees or honoraria) anticipated over the next 12 months when aggregated for the Investigator and the Investigator's spouse and dependent children exceed \$5,000.

Attach information including:

- a) A description of the services to be performed.
- b) Name of the organization for which services will be performed.
- c) Date of service.
- d) Amount of payment expected.

_____ 2) Equity interests (e.g., stocks, stock options or other ownership interests) that when aggregated for the Investigator and the Investigator's spouse and dependent children exceed \$5,000 in value.

Attach information including:

- a) A description of the type of equity interest.
- b) Name of the entity in which equity interest is held.
- c) Amount of the equity interest or percentage of ownership interest.

_____ 3) Intellectual property rights (e.g., patents, copyrights and royalties).

Attach information including:

- a) A description of the property rights.
- b) Amount of any payment received.

_____ 4) Participation (as an officer, director, partner, trustee, employee, advisory board member, or agent) in an entity funding or providing goods and services to a project.

Attach information including:

- a) A description of the type of participation.
- b) Name of the entity.

_____ 5) Other Significant Financial Interests

Attach information including:

- a) A description of the interests.
- b) Names of organizations or entities involved.
- c) Amount of payment received or value of the interest.

_____ I have answered fully and to the best of my ability and will update these disclosures in accordance with the institutional policy if my circumstances change.

This is page _____ of total of _____ pages that I am enclosing.

Signature _____ Date _____

Please return to Notre Dame of Maryland University Office of the Vice President for Academic Affairs and your Academic Dean